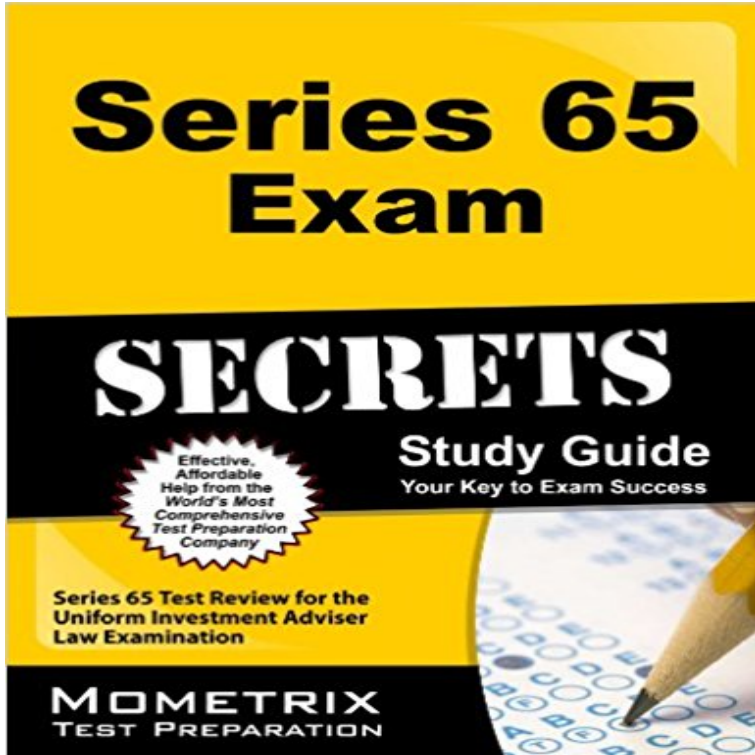


Series 65 Exam Secrets Study Guide: Series 65 Test Review for the Uniform Investment Adviser Law Examination



Includes Practice Test Questions

Get the test prep help you need to be successful on the Series 65 test. The Series 65 Exam is extremely challenging and thorough test preparation is essential for success. Series 65 Exam Secrets Study Guide is the ideal prep solution for anyone who wants to pass the Series 65 exam. Not only does it provide a comprehensive guide to the Series 65 Exam as a whole, it also provides practice test questions as well as detailed explanations of each answer. Series 65 Exam Secrets Study Guide includes:

- An extensive review of the Series 65 Test
- An in-depth guide to cash and cash equivalents
- An examination of client information
- An analysis of debt and equity securities
- A thorough study of economic factors and business information
- An exhaustive look at ethical practices and regulations and guidelines
- A detailed breakdown of other investments and special accounts
- A full examination of performance measures
- An extensive analysis of portfolio management and retirement
- A complete guide to tax considerations
- A thorough overview of trading securities
- Comprehensive practice questions with detailed answer explanations

Its filled with the critical information you'll need in order to do well on the test the concepts, procedures, principles, and vocabulary that the North American Securities Administrators Association (NASAA) and administered by the Financial Industry Regulatory Authority (FINRA) expects you to have mastered before sitting for the exam. The Cash and Cash Equivalents section covers: Insured Deposits Certificate of Deposit Money Market Instruments The Client Information section covers: Sole Proprietorship Business Entities Clients Investment Profile Clients Income Needs The Debt and Equity Securities section covers: Treasury Bills Bond Rating Common Stock Preferred Stock The

Economic Factors and Business Information section covers: Business Cycle Inflation Financial Ratios Initial Public Offering The Ethical Practices and Regulations and Guidelines section covers: Proper Disclosures Churning Uniform Securities Act Broker-Dealer The Other Investments and Special Accounts section covers: Basic Derivative Securities Life Insurance 529 Accounts Coverdell Education Accounts The Performance Measures section covers: Risk-Adjusted Return Internal Rate of Return Yield-To-Maturity Current Yield The Portfolio Management and Retirement section covers: Capital Asset Pricing Model Market Types Retirement Plans 401(k) Plans The Tax Considerations section covers: Tax Implications Associated with Individual Investing Alternative Minimum Tax (AMT) Gift Tax The Trading Securities section covers: Market Order, Limit Order, and Stop Order Short Sale Stock Market These sections are full of specific and detailed information that will be key to passing the Series 65 Exam. Concepts and principles aren't simply named or described in passing, but are explained in detail. The guide is laid out in a logical and organized fashion so that one section naturally flows from the one preceding it. Because it's written with an eye for both technical accuracy and accessibility, you will not have to worry about getting lost in dense academic language.

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